## CORRIGENDUM TO "BACKWARD ITERATION IN STRONGLY CONVEX DOMAINS" ADV. IN MATH., 228, PP. 2837–2854.

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ABSTRACT. We correct a gap in two lemmas in [2], providing a new proof of the main results of that paper for hyperbolic and strongly elliptic self-maps of a bounded strongly convex domain with  $C^2$  boundary.

We have found a gap in the proofs of Lemmas 2.2 and 2.5 of our paper [2]. In this note we fill these gaps, giving a proof of the main results using different arguments.

More precisely we prove the following version of [2, Theorem 0.1]:

**Theorem 1.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be either hyperbolic or strongly elliptic, with Wolff point  $\tau \in \overline{D}$ . Let  $\{z_k\} \subset D$  be a backward orbit for f with bounded Kobayashi step. Then:

- (i) the sequence  $\{z_k\}$  converges to a boundary fixed point  $\sigma \in \partial D$ ;
- (ii) if  $\sigma \neq \tau$  then  $\sigma$  is repelling;
- (iii)  $\sigma \neq \tau$  if and only if  $\{z_k\}$  goes to  $\sigma$  inside a K-region, that is, there exists M > 0 so that  $z_k \in K_p(\sigma, M)$  eventually, where p is any point in D.

**Remark 2.** If f is strongly elliptic then clearly  $\sigma \neq \tau$ . We conjecture that  $\sigma \neq \tau$  in the hyperbolic case too.

**Remark 3.** The following proof does not work in the parabolic case, considered in the original version of [2, Theorem 0.1]. Thus the behavior of backward orbits for a parabolic self-map is still not understood, even (as far as we know) in the unit ball of  $\mathbb{C}^n$  (see [4]).

*Proof.* The proof is divided into two cases according to whether f is hyperbolic or strongly elliptic. We will freely use the notations introduced in [2].

## Hyperbolic case.

We begin by proving part (i) following the approach already indicated in [2, Remark 2.1].

**Lemma 4.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in Hol(D,D)$  be hyperbolic with Wolff point  $\tau \in \partial D$  and let  $\{z_k\} \subset D$  be a backward orbit for f with bounded Kobayashi step a > 0. Then  $\{z_k\}$  converges to a boundary fixed point  $\sigma \in \partial D$ .

*Proof.* First of all, recall that [3, Lemma 2.4 and Remark 3] yields a constant  $C_1 > 0$  such that

(1) 
$$||z_k - z_{k+1}||^2 + |\langle z_k - z_{k+1}, z_k \rangle| \le \frac{C_1^2}{1 - \hat{a}^2} d(z_k, \partial D),$$

and so

(2) 
$$||z_k - z_{k+1}|| \le \frac{C_1}{\sqrt{1 - \hat{a}^2}} \sqrt{d(z_k, \partial D)} \le \frac{C_1}{1 - \hat{a}} \sqrt{d(z_k, \partial D)} ,$$

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where  $\hat{a} = \tanh a \in (0, 1)$ . On the other hand, given  $p \in D$  the triangular inequality and the upper estimate [1, Theorem 2.3.51] on the boundary behaviour of the Kobayashi distance yield a constant  $C_2 > 0$  such that

$$\frac{1}{2}\log h_{\tau,p}(z_k) \le k_D(p,z_k) \le C_2 - \frac{1}{2}\log d(z_k,\partial D)$$
,

that is

(3) 
$$d(z_k, \partial D) \le \frac{e^{2C_2}}{h_{\tau, p}(z_k)},$$

and thus

(4) 
$$||z_k - z_{k+1}|| \le \frac{C}{1 - \hat{a}} \sqrt{\frac{1}{h_{\tau, p}(z_k)}} ,$$

for a suitable C > 0. Therefore using [2, (2.1)] we obtain that for every  $k, m \ge 0$  we have

(5) 
$$||z_k - z_{k+m}|| \le \sum_{j=k}^{k+m-1} ||z_j - z_{j+1}|| \le \frac{C}{1 - \hat{a}} \frac{1}{\sqrt{h_{\tau,p}(z_k)}} \sum_{j=0}^{m-1} \beta_{\tau}^{j/2}$$

$$\le \frac{C}{1 - \hat{a}} \frac{1}{1 - \beta_{\tau}^{1/2}} \frac{1}{\sqrt{h_{\tau,p}(z_k)}} .$$

Since  $h_{p,\tau}(z_k) \to +\infty$  as  $k \to +\infty$  by [2, Lemma 2.6] it follows that  $\{z_k\}$  is a Cauchy sequence in  $\mathbb{C}^n$ , converging to a point  $\sigma$ , necessarily belonging to  $\partial D$  by [2, Lemma 2.1]. The proof is then completed by quoting [2, Lemma 2.3].

The following lemma, whose proof is identical to the proof of [2, Lemma 2.4], allows us to control the dilation coefficient at the limit of a backward orbit, giving in particular part (ii) of Theorem 1 in the hyperbolic case.

**Lemma 5.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be hyperbolic or parabolic with Wolff point  $\tau \in \partial D$  and dilation coefficient  $0 < \beta_{\tau} \leq 1$ . Let  $\sigma \in \partial D \setminus \{\tau\}$  be a boundary fixed point with finite dilation coefficient  $\beta_{\sigma}$ . Then

$$\beta_{\sigma} \geq \frac{1}{\beta_{\sigma}} \geq 1$$
.

In particular, if f is hyperbolic then  $\sigma$  is repelling.

*Proof.* Argue as in the proof of [2, Lemma 2.4].

To deal with K-regions, we need the following remark.

**Remark 6.** In strongly convex domains K-regions are comparable to Stein admissible approach regions  $A(\sigma, M)$  of vertex  $\sigma \in \partial D$  and aperture M > 1:

(6) 
$$A(\sigma, M) = \{ z \in D \mid ||z - \sigma||^2 < Md(z, \partial D), |\langle z - \sigma, n_\sigma \rangle| < Md(z, \partial D) \},$$

where  $n_{\sigma}$  is the outer unit normal vector to  $\partial D$  at  $\sigma$ . Here "comparable" means that for every  $\sigma \in \partial D$  there exists a neighbourhood  $U \subset \mathbb{C}^n$  of  $\sigma$  such that for any M > 1 and  $p \in D$  there are  $M_1, M_2 > 1$  such that

$$A(\sigma, M_1) \cap U \subseteq K_p(\sigma, M) \cap U \subseteq A(\sigma, M_2) \cap U$$
;

see, e.g., [1, Propositions 2.7.4, 2.7.6 and p. 380].

We can now prove the first half of Theorem 1.(iii) for the hyperbolic case.

**Lemma 7.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be hyperbolic with Wolff point  $\tau \in \partial D$  and dilation coefficient  $0 < \beta_{\tau} < 1$ , and let  $\{z_k\} \subset D$  be a backward orbit with bounded Kobayashi step a > 0 converging to  $\sigma \in \partial D \setminus \{\tau\}$ . Then for every  $p \in D$  there exists M > 0 such that  $z_k \in K_p(\sigma, M)$  eventually.

*Proof.* Fix  $p \in D$ . By Remark 6 it suffices to prove that there exists M > 1 such that  $\{z_k\}$  converges to  $\sigma$  inside an admissible approach region  $A(\sigma, M)$ .

Set  $t_k := h_{\tau,p}(z_k)$ . Thanks to [2, (2.1)] we have

$$\frac{1}{t_{k+m}} \le \beta_{\tau}^m \frac{1}{t_k}$$

for all  $k, m \ge 0$ . Moreover, thanks to [1, Corollary 2.3.55], since  $\sigma \ne \tau$ , there exists  $\varepsilon > 0$  and K > 0 such that for any  $w \in D \cap B(\tau, \varepsilon)$  and  $k \in \mathbb{N}$  such that  $z_k \in D \cap B(\sigma, \varepsilon)$  we have

$$k_D(z_k, w) \ge -\frac{1}{2} \log d(z_k, \partial D) - \frac{1}{2} \log d(w, \partial D) + K$$
,

where  $B(x,\varepsilon)$  is the Euclidean ball of center x and radius  $\varepsilon$ .

On the other hand, [1, Theorem 2.3.51] yields  $c_1 \in \mathbb{R}$  such that

$$k_D(w, p) \le c_1 - \frac{1}{2} \log d(w, \partial D)$$

for any  $w \in D$ . So for  $w \in D \cap B(\tau, \varepsilon)$  and k sufficiently large we have

$$k_D(z_k, w) - k_D(w, p) \ge -\frac{1}{2} \log d(z_k, \partial D) - \frac{1}{2} \log d(w, \partial D) + \frac{1}{2} \log d(w, \partial D) - c_1 + K$$

which implies

$$t_k = h_{\tau,p}(z_k) = \lim_{w \to \tau} [k_D(z_k, w) - k_D(w, p)] \ge -\frac{1}{2} \log d(z_k, \partial D) + K - c_1$$

that is

(8) 
$$\frac{1}{t_k} \le \widetilde{C}_1 d(z_k, \partial D),$$

for some  $\widetilde{C}_1 > 0$ .

Therefore, thanks to (5), for all  $m \geq 0$  and k large enough we have

(9) 
$$||z_k - z_{k+m}|| \le \frac{C\tilde{C}_1}{1 - \hat{a}} \frac{1}{1 - \beta_{\tau}^{1/2}} \sqrt{d(z_k, \partial D)}$$

for some C > 0, where  $\hat{a} = \tanh a$ , and letting m tend to infinity we obtain that for k sufficiently large there is  $M_1 > 1$  such that

(10) 
$$||z_k - \sigma|| < M_1 \sqrt{d(z_k, \partial D)}.$$

On the other hand, up to translating the domain, without loss of generality we can assume that D contains the origin. In particular, D being bounded and strongly convex, we can replace  $n_{\sigma}$  by  $\sigma$  in the definition of  $A(\sigma, M)$ . Therefore, to conclude the proof it suffices to prove that there exists  $M_2 > 1$  such that

$$|\langle z_k - \sigma, \sigma \rangle| \le M_2 d(z_k, \partial D)$$

for k large enough. Now

$$|\langle z_i - z_{i+1}, z_i - \sigma \rangle| \le ||z_i - z_{i+1}|| ||z_i - \sigma||,$$

and so, thanks to (1), (8) and (10), for k large enough and  $m \ge 0$  we have

$$|\langle z_{k} - z_{k+m}, \sigma \rangle| \leq \sum_{j=k}^{k+m-1} |\langle z_{j} - z_{j+1}, \sigma \rangle|$$

$$\leq \sum_{j=k}^{k+m-1} \left( |\langle z_{j} - z_{j+1}, z_{j} - \sigma \rangle| + |\langle z_{j} - z_{j+1}, z_{j} \rangle| \right)$$

$$\leq \sum_{j=k}^{k+m-1} \left( ||z_{j} - z_{j+1}|| ||z_{j} - \sigma|| + \frac{C_{1}^{2}}{1 - \hat{a}^{2}} d(z_{j}, \partial D) \right)$$

$$\leq \sum_{j=k}^{k+m-1} \left( \frac{M_{1}C_{1}}{1 - \hat{a}} d(z_{j}, \partial D) + \frac{C_{1}^{2}}{1 - \hat{a}^{2}} d(z_{j}, \partial D) \right)$$

$$\leq C' \sum_{j=k}^{k+m-1} d(z_{j}, \partial D),$$

for some C' > 0. Arguing as in (5), using (3), (7) and (8) we obtain

$$|\langle z_k - z_{k+m}, \sigma \rangle| \le M_2 d(z_k, \partial D)$$

for  $m \geq 0$ , k large enough and for some  $M_2 > 1$ . Letting m tend to infinity we finally have

$$|\langle z_k - \sigma, \sigma \rangle| \leq M_2 d(z_k, \partial D).$$

as claimed.  $\Box$ 

The following lemma completes the proof of Theorem 1.(iii):

**Lemma 8.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be hyperbolic with Wolff point  $\tau \in \partial D$  and dilation coefficient  $0 < \beta_{\tau} < 1$ , and let  $\{z_k\} \subset D$  be a backward orbit with bounded Kobayashi step converging to  $\sigma \in \partial D \setminus \{\tau\}$  inside a K-region. Then  $\sigma \neq \tau$ .

*Proof.* Assume, by contradiction, that  $\sigma = \tau$ . Fix  $p \in D$ , and let M > 1 be such that  $z_k \in K_p(\tau, M)$ . Given  $\varepsilon > 0$ , [1, Lemma 2.7.1] yields r > 0 such that if  $k_D(z_k, p) \ge r$  then  $z_k \in E_p(\tau, \varepsilon)$ , that is  $h_{\tau,p}(z_k) < \varepsilon$ . Since  $k_D(z_k, p) \to +\infty$ , it follows that  $h_{\tau,p}(z_k) \to 0$  as  $k \to +\infty$ . But [2, Lemma 2.6] implies that  $h_{\tau,p}(z_k) \to +\infty$ , contradiction.

**Strongly elliptic case.** We start by proving by contradiction that any backward orbit has to accumulate to the boundary of the domain D.

**Lemma 9.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be strongly elliptic with Wolff point  $p \in D$ , and let  $\{z_k\} \subset D$  be a backward orbit with bounded Kobayashi step  $a = \frac{1}{2} \log \alpha$ . Then  $z_k \to \partial D$  as  $k \to +\infty$ .

*Proof.* Define  $\ell_k > 0$  by setting  $\frac{1}{2} \log \ell_k = k_D(z_k, p)$ . Since f is strongly elliptic, we have  $k_D(z_k, p) < k_D(z_{k+1}, p)$ ,

and thus the sequence  $\{\ell_k\}$  is strictly increasing. Assume, by contradiction, that it has a finite limit  $\ell_{\infty}$ . This means that every limit point  $z_{\infty}$  of the sequence  $\{z_k\}$  satisfies  $k_D(z_{\infty},p)=\frac{1}{2}\log\ell_{\infty}$ . But  $f(z_{\infty})$  is a limit point of the sequence  $\{f(z_k)\}=\{z_{k-1}\}$  and thus we again have  $k_D(f(z_{\infty}),p)=\frac{1}{2}\log\ell_{\infty}$ , which is impossible by [2, Lemma 1.1] because f is strongly elliptic. Therefore  $\ell_{\infty}=+\infty$ , which means that  $z_k\to\partial D$ .

This allows us to prove the following key result.

**Lemma 10.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \text{Hol}(D,D)$  be strongly elliptic with Wolff point  $p \in D$ . Let  $\{z_k\} \subset D$  be a backward orbit with bounded Kobayashi step. Then there exists a constant 0 < c < 1 such that

$$k_D(z_k, p) - k_D(z_{k+1}, p) \le \frac{1}{2} \log c < 0$$

for all  $k \in \mathbb{N}$ .

*Proof.* Assume, by contradiction, that for every 0 < c < 1 there is  $k(c) \in \mathbb{N}$  such that

$$k_D(z_{k(c)}, p) - k_D(z_{k(c)+1}, p) > \frac{1}{2} \log c$$
,

that is

$$k_D(z_{k(c)+1}, p) - k_D(f(z_{k(c)+1}), p) < -\frac{1}{2}\log c$$
.

Consider the sequences  $\{z_{k(1-\frac{1}{j})+1}\}$  and  $\{z_{k(1-\frac{1}{j})}=f(z_{k(1-\frac{1}{j})+1})\}$ . Thanks to Lemma 9, we know that both these sequences accumulate on  $\partial D$ ; therefore, by extracting subsequences, we can find a subsequence  $\{z_{k_i}\}$  such that  $z_{k_j} \to \sigma_1 \in \partial D$ ,  $f(z_{k_j}) \to \sigma_2 \in \partial D$  as  $j \to +\infty$  and

$$\lim_{j \to +\infty} \left[ k_D(z_{k_j}, p) - k_D(f(z_{k_j}), p) \right] \le 0.$$

If  $\sigma_1 \neq \sigma_2$ , then [1, Corollary 2.3.55], together with the fact that  $\{z_k\}$  has bounded Kobayashi step, lead to a contradiction since for k large enough there is  $K \in \mathbb{R}$  such that

$$a \ge k_D(z_{k_j}, f(z_{k_j})) \ge -\frac{1}{2}\log d(z_{k_j}, \partial D) - \frac{1}{2}\log d(f(z_{k_j}), \partial D) + K$$

whereas the right-hand side tends to infinity. Therefore,  $\sigma_1 = \sigma_2$  and we have

$$\liminf_{z \to \sigma_1} \left[ k_D(z, p) - k_D(f(z), p) \right] \le 0.$$

Then we can apply [1, Proposition 2.4.15, Theorem 2.4.16 and Proposition 2.7.15], obtaining that  $\sigma_1$  is a boundary fixed point and that for any R > 0 we have  $f(E_p(\sigma_1, R)) \subseteq E_p(\sigma_1, R)$ . We can then choose R < 1 so that  $p \notin \overline{E_p(\sigma_1, R)}$ , and let  $w \in \overline{E_p(\sigma_1, R)}$  be a point closest to p with respect to the Kobayashi distance. Since  $f(w) \in \overline{E_p(\sigma_1, R)}$  this means that  $k_D(f(w), p) \ge k_D(w, p)$ , which is impossible because  $w \ne p$  and f is strongly elliptic.

We can now prove, using the argument already suggested in [2, Remark 2.2], that the whole backward orbit converges to a boundary fixed point  $\sigma \in \partial D$ , which is obviously different from the Wolff point  $p \in D$ .

**Lemma 11.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be strongly elliptic with Wolff point  $p \in D$ , and let  $\{z_k\} \subset D$  be a backward orbit with bounded Kobayashi step  $a = \frac{1}{2} \log \alpha$ . Then  $\{z_k\}$  converges to a boundary fixed point  $\sigma \in \partial D$  with  $\beta_{\sigma} \leq \alpha$ .

*Proof.* Without loss of generality, we can assume that  $z_0 \neq p$ . We consider  $s_k > 0$  defined by setting  $-\frac{1}{2} \log s_k = k_D(z_k, p)$ . Taking the constant 0 < c < 1 given by the Lemma 10, we therefore have

$$-\frac{1}{2}\log s_k + \frac{1}{2}\log s_{k+1} \le \frac{1}{2}\log c ,$$

that is

$$(12) s_{k+1} \le c s_k .$$

Therefore  $s_{k+m} \leq c^m s_k$  for every  $k, m \in \mathbb{N}$ , and using again (1) and [1, Theorem 2.3.51] as in the proof of Lemma 4, for all  $j \in \mathbb{N}$  we obtain

$$||z_j - z_{j+1}|| \le \frac{C}{1 - \hat{a}} \sqrt{s_j}$$

for a suitable C > 0, where  $\hat{a} = \tanh a$ . Arguing exactly as in (5) we then obtain that

(13) 
$$||z_k - z_{k+m}|| \le \frac{C}{1 - \hat{a}} \frac{1}{1 - c^{1/2}} \sqrt{s_k},$$

for any  $m \geq 0$  and k large enough. So  $\{z_k\}$  is a Cauchy sequence in  $\mathbb{C}^n$  converging to a point  $\sigma \in \partial D$  by Lemma 10, and the assertion follows from [2, Lemma 2.3].

The following general result proves Theorem 1.(ii) in the strongly elliptic case.

**Lemma 12.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be strongly elliptic with Wolff point  $p \in D$ . If  $\sigma \in \partial D$  is a boundary fixed point then  $\beta_{\sigma} > 1$ .

*Proof.* Since p is a fixed point of f, we already know that

$$\frac{1}{2}\log \beta_{\sigma} = \liminf_{z \to \sigma} \left[ k_D(z, p) - k_D(f(z), p) \right] \ge 0.$$

Assume, by contradiction, that  $\beta_{\sigma} = 1$ . Then [1, Proposition 2.4.15, Theorem 2.4.16 and Proposition 2.7.15] yields  $f(E_p(\sigma, R)) \subseteq E_p(\sigma, R)$  for any R > 0 because  $\sigma$  is a boundary fixed point. Choose R < 1 so that  $p \notin \overline{E_p(\sigma, R)}$ , and let  $w \in \overline{E_p(\sigma, R)}$  be a point closest to p with respect to the Kobayashi distance. Since  $f(w) \in \overline{E_p(\sigma, R)}$  this means that  $k_D(f(w), p) \ge k_D(w, p)$ , which is impossible because  $w \ne p$  and f is strongly elliptic.

We conclude by proving Theorem 1.(iii) in the strongly elliptic case.

**Lemma 13.** Let  $D \in \mathbb{C}^n$  be a bounded strongly convex domain with  $C^2$  boundary. Let  $f \in \operatorname{Hol}(D,D)$  be strongly elliptic, with Wolff point  $p \in D$ . Let  $\{z_k\} \subset D$  be a backward orbit for f with bounded Kobayashi step converging to  $\sigma \in \partial D$ . Then for every  $q \in D$  there exists M > 0 such that  $z_k \in K_q(\sigma,M)$  eventually.

*Proof.* It suffices again to prove that there exists M > 1 such that  $\{z_k\}$  converges to  $\sigma$  inside an admissible approach region  $A(\sigma, M)$ .

Without loss of generality, we can assume that  $z_0 \neq p$ . We consider again  $s_k > 0$  defined by setting  $-\frac{1}{2} \log s_k = k_D(z_k, p)$ . Thanks to (12), there is a constant 0 < c < 1 such that

$$(14) s_{k+m} \le c^m s_k$$

for all k, m > 0.

Now, [1, Theorem 2.3.51, Theorem 2.3.52] yield constants  $\widetilde{C}_1, \widetilde{C}_2 > 0$  such that

(15) 
$$\widetilde{C}_1 d(z_j, \partial D) \le s_j \le \widetilde{C}_2 d(z_j, \partial D)$$

for all  $j \in \mathbb{N}$ , and so plugging this in (13) we have

$$\|z_k-z_{k+m}\| \leq \frac{C}{1-\hat{a}}\frac{1}{1-c}\sqrt{s_k} \leq \frac{C}{1-\hat{a}}\frac{1}{1-c}\sqrt{\tilde{C}_2}\sqrt{d(z_k,\partial D)}$$

for any  $m \geq 0$  and k large enough. Letting m tend to infinity we then obtain

(16) 
$$||z_k - \sigma|| \le M_1 \sqrt{d(z_k, \partial D)},$$

for some  $M_1 > 1$ .

On the other hand, up to translating the domain, without loss of generality we can assume that D contains the origin. In particular, since D is bounded and strongly convex we can replace  $n_{\sigma}$  by  $\sigma$  in the definition of  $A(\sigma, M)$ . Therefore, it suffices to prove that there exists  $M_2 > 1$  such that

$$|\langle z_k - \sigma, \sigma \rangle| \le M_2 d(z_k, \partial D)$$

for k large enough. But this follows by arguing as in the proof of Lemma 7 using  $s_k$  instead of  $t_k$ , thanks to (14) and (15).

This concludes the proof of Theorem 1 in both cases.

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